# DELEGATE SELECTION RULES

For the 2016 Democratic National Convention



Congresswoman Debbie Wasserman Schultz Chair

#### **Proposed Draft**

Reflects changes previously reviewed and approved by the Rules and Bylaws Committee at its meetings on May 2, 2014, August 1, 2014 and August 22, 2014 and is recommended for adoption by the full Democratic National Committee at its meeting on Saturday, August 23, 2014.

## **TABLE OF CONTENTS**

### Rule Number

1.	Publication and Submission of State Party Rules
2.	Participation
3.	Scheduling of Delegate Selection Meetings
4.	An Open Party5
5.	Non-Discrimination 6
6.	Affirmative Action6
7.	Inclusion Programs
8.	National Convention Delegate Apportionment
9.	Unpledged and Pledged Party Leaders and Elected Official Delegates9
10.	Selection of At-Large Delegates
11.	Timing of the Delegate Selection Process
12.	Presidential Preference
13.	Fair Reflection of Presidential Preferences
14.	Petition Requirements and Filing Deadlines
15.	Quorum Requirements
16.	Proxy Voting
17.	Unit Rule and Slate-Making
18.	Alternates and Vacancies
19.	DNC Rules and Bylaws Committee
20.	Challenges
21.	State Legislative Changes

## **DELEGATE SELECTION RULES**

#### For the 2016 Democratic National Convention

#### **WORKING COPY**

Reflects changes previously reviewed and approved by the Rules and Bylaws Committee at its meetings on May 2, 2014, August 1, 2014 and August 22, 2014 and is recommended for adoption by the full Democratic National Committee at its meeting on Saturday, August 23, 2014.

Inserted language is **bold and double underlined**. Deleted language is shown with **strikethrough**.

1	Rule 1	64	7. All <u>filing and</u> petition requirements,
2	Publication and Submission of	65	including filing fees, if applicable, and
		66	corresponding deadlines prescribed by
3	State Party Rules	67	state law for delegate and alternate
4		68	candidates and for presidential
5	A. State parties shall adopt Delegate Selection	69	candidates;
6	Plan, Affirmative Action Plan and Inclusion	45	
7	1		8. All filing and petition requirements,
8	delegate selection process. These rules shall		including filing fees, if applicable, and
9			corresponding deadlines prescribed by
0	include, but are not limited to:	74	state party rules for delegate and
11		75	alternate candidates and for
12	1. Procedures for electing and certifying	76	presidential candidates;
13	delegates and alternates at all levels;	52	
14		63	8. <u>9.</u> Procedures for ascertaining
15	2. Timing of primary/caucuses/	64	delegate/alternate preference at all
16	conventions;	65	stages;
17		56	
8	3. Procedures providing for equal division	62	9. <u>10.</u> Procedures for presidential candidate
19	in each state's convention delegation;	63	right of approval;
20		59	
21	4. Procedures providing for the selection	65	10.11. Method of awarding delegates and
22	of the chair of the delegation;	66	alternates to presidential candidates;
23		62	
24	5. Particulars concerning the scheduling of	73	11.12. Methods and timetable for the
25	delegate selection meetings including	74	selection of permanent standing
26	methods by which each meeting or	75	committee members;
27	event will be publicized;	66	
28		77	12.13. Procedures for challenges of the
29	6. Affirmative Action Plans and Inclusion	78	delegate selection, and affirmative
30	Programs in detail including affirmative	79	action and inclusion processes;
31	action and inclusion obligations of	70	
32	presidential candidates;	76	1314. Methods and timetable for the
33		77	selection of convention pages; and

#### 2016 Delegate Selection Rules for the Democratic National Convention

1 2 3 4 5		<del>14.</del>	<u>15.</u> Other appropriate provisions from these Rules, the Call, and the Regulations.	51 52 53 54 55	C.	Each state party shall provide for a thirty (30) day <sup>1</sup> period of public comment to solicit opinion on the State's Delegate Selection Plan, Affirmative Action Plan and Inclusion Program prior to adoption. All written
6 7 8	A.	inc	e following items are to be routinely luded at an appropriate place in each te plan:	56 57 58		public comments submitted to the state Democratic Committee shall be submitted along with the plans to the Rules and
9 10 11		1.	Eligibility requirements for participation in the delegate selection process in conformance with Rule 2 [Rule 2];	59 60 61		Bylaws Committee of the Democratic National Committee ("DNC Rules and Bylaws Committee").
12 13 14			Prohibition of cost and fees [Rule 2.D.];	62 63 64	D.	State Delegate Selection Plans, Affirmative Action Plans and Inclusion Programs shall
15 16 17 18		3.	Prohibition of participation by those participating in another party's process [Rule 2.E.];	65 66 67 68		be submitted to the DNC Rules and Bylaws Committee for approval on or before May 2, 2011 May 4, 2015.
19 20 21		4.	One-meeting limitation for first-stage participants [Rule 3.E.];	69 70 71	E.	The DNC Rules and Bylaws Committee shall act on the proposed plans as soon as practicable, but in no case later than
22 23 24		5.	"Six basic elements" of an open party [Rule 4];	72 73 74		September 16, 2011 September 15, 2015, or four months before the respective State's first determining step, whichever is earlier.
25 26		6.	Non-discrimination principles [Rule 5];	75 76		Its decision shall be final and binding.
27 28 29 30		7.	Requirement that all steps take place within calendar year of convention [Rule 11.B.];	77 78 79 80	F.	Implementation of state Affirmative Action Plans and Inclusion Programs shall begin no later than September 16, 2011 September 15, 2015, or four months before the respective
31 32 33		8.	Required identification of preference of candidates for delegate and alternate [Rule 12.A.];	81 82 83		state's first determining step, whichever is earlier.
34 35 36 37		9.	Protection against coerced vote [Rule 12.I.];	84 85 86 87	G.	State Delegate Selection Plans shall specify the methods and timetable to be followed in selecting members of standing committees of the national convention. These
38 39		10.	Quorum requirements [Rule 15];	88 89		procedures shall be in conformity with the rules to be contained in the Call for the 2012
40 41		11.	Proxy voting rules, if any [Rule 16];	90 91		2016 Convention.
42 43		12.	Unit rule prohibition [Rule 17.A.];	92 93	H.	The Democratic National Committee ("DNC") and the state parties shall publish
44 45 46		13.	Slate making limitations [Rule 17.B.]; and	94 95 96		and make available at no cost their rules, the 2012-2016 National Delegate Selection Rules, and a clear and concise explanation of how
47 48 49 50		14.	Succession of alternates to delegate status and filling of vacancies in delegate positions [Rule 18].		"day	elless otherwise explicitly specified, reference in these Rules to "or "days" means "calendar days." If the last day of a period
30					falls	on a Saturday, Sunday or a federally recognized holiday, the shall be extended to the next business day.

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Democratic voters can participate in the delegate selection process. The DNC shall prepare and provide at no cost to state parties a clear and concise explanation of the 2012-2016 Delegate Selection Rules. This shall be done no later than October 1 of the calendar year immediately preceding the calendar year of the national convention.

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# Rule 2 Participation

- 13 A. Participation in the delegate selection
  14 process shall be open to all voters who wish
  15 to participate as Democrats.
  - 1. Democratic voters shall be those persons who publicly declare their Party preference and have that preference publicly recorded.
  - 2. Implementation of this administrative matter shall be delegated to the DNC Rules and Bylaws Committee.
- B. Nothing in these rules shall be interpreted
  to encourage or permit states with party
  registration and enrollment, or states that
  limit participation to Democrats only, to
  amend their systems to open participation
  to members of other parties.
- 33 C. State parties shall take all feasible steps to encourage non-affiliated and new voters to 34 35 register or enroll, to provide simple 36 procedures through which they may do so 37 and to eliminate excessively long waiting 38 periods for voters wishing to register or to 39 change their party enrollment status. In all 40 caucuses or conventions conducted 41 pursuant to these rules, all Democrats who 42 comply with Rule 2.A., 2.A.(1), and 2.A.(2). 43 shall be allowed to participate. 44
- D. At no stage of the delegate selection process
  shall any person be required, directly or
  indirectly, to pay a cost or fee as a condition
  for participating in the delegate selection
  process. Voluntary contributions to the

- Party may be made, but under no circumstances shall a contribution be mandatory for participation.
- 79 E. No person shall participate or vote in the
  80 nominating process for a Democratic
  81 presidential candidate who also participates
  82 in the nominating processes of any other
  83 party for the corresponding elections.
  64
- 135 F. In accordance with Article Nine, Section 12 136 of the Charter of the Democratic Party of the 137 United States, votes shall not be taken by 138 secret ballot at any stage of the delegate 139 selection process, including processes leading up to the selection of DNC 140 141 Members or State Chairs and Vice Chairs, 142 who serve as DNC members by virtue of 143 their office, except that use of such voting 144 by secret ballot may be used in a state run or 145 state party run primary which constitutes the first determining stage of the delegate 146 147 election process and in which all individual 148 voters are eligible to participate in 149 accordance with the provisions of this 80 Rule 2.
- 112 G. The casting of ballots over the Internet may
  113 be used as a method of voting in a vote only
  114 for presidential preference in a state party115 run primary constituting the first
  116 determining stage in the presidential
  117 nominating process, and only if such casting
  118 of ballots over the Internet:
  - Is used in a system in which voters may cast their ballots in person on the day of such primary and by mail, and in which casting of ballots over the Internet is an alternate means of voting;
  - 2. Is accompanied by a comprehensive, proactive education and outreach program on the use of Internet voting that is set forth in the state's delegate selection plan and approved by the DNC Rules and Bylaws Committee;
    - 3. Is conducted in accordance with a plan approved by the DNC Rules and Bylaws

51 1 Committee that is included in the state's election and prior to certification of 2 52 results where possible; delegate selection plan, and that 3 53 provides adequate measures to achieve 4 security, reliability, access to eligible 54 b. Parallel testing on Election Day; 5 voters and transparency, including 55 6 contractual and other safeguards to 56 Physical and electronic security for c. 7 secure exclusive ownership and control 57 equipment; 8 by the state party of voting data; 58 9 59 d. Banning use of wireless components and connections except where 10 Is accomplished through a system 60 which provides the voter with an required to provide a voter with a 61 11 disability a secure and approved 12 opportunity to verify the voter's ballot 62 63 means to access voting materials 13 and correct any error before the voter's 64 and exercise the right to vote; 14 vote is cast; which can be permanently maintained by the voter at the voter's 15 65 option in paper, electronic or other 66 Public disclosure of software design; 16 e. 17 form; and which produces a paper 67 18 record of the voter's vote that is 68 f. Use of transparent and random 19 69 selection for all auditing procedures; preserved and maintained by the state 70 20 party in the event of a manual audit, 21 until the expiration of the time for filing 71 Effective procedures for addressing g. 72 evidence of fraud or error. 22 an implementation challenge under 23 73 these Rules. 74 Each State Party shall include in its 24 Delegate Selection Plan a description of 75 25 H. In states using government-run voting 76 steps taken to assess and improve systems in the delegate selection process, 26 77 participation with respect to presidential 27 State Delegate Selection Plans shall include 78 preference and delegate selection contests 28 provable positive steps (as defined in Rule 79 and procedures. 29 21) taken or to be taken by the state party to: 30 80 31 1. Promote the acquisition, maintenance 81 Rule 3 and regular replacement of accessible 32 **Scheduling of Delegate** 82 33 precinct based optical scan systems **Selection Meetings** 83 34 wherever possible; 84 35 85 A. All official Party meetings and events 36 Seek enactment of legislation, rules and related to the national convention delegate policies at the state and local level to 86 37 87 selection process, including caucuses, ensure that direct recording electronic 38 88 conventions, committee meetings, filing 39 systems include a voter verified paper 89 dates, and Party enrollment periods, shall 40 record; 90 be scheduled for dates, times and public 41 91 places which would be most likely to 42 3. Seek enactment of legislation, rules and 92 encourage the participation of all policies at the state and local level to 43 93 Democrats, and must begin and end at ensure that both optical scan and direct 44 94 reasonable hours. It shall be the 45 recording electronic systems include 95 responsibility of the state party to select 46 recognized security measures such as: 96 the dates, times and to provide facilities 47 97 for all official party meetings and events 48 Automatic routine manual audits a. 98 related to the national convention delegate comparing paper records to 49 99 selection process. 50 electronic records following every

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- B. All such meetings or events which are the first meeting or event in the delegate selection process shall be scheduled at times and dates which are uniform throughout the state, except where it is established by the state party and approved by the DNC Rules and Bylaws Committee that such uniform times and dates would significantly reduce participation in the delegate selection process.
- 12 C. The times, dates, places, and rules for the
  13 conduct of all caucuses, conventions,
  14 meetings and other events involved in the
  15 delegate selection process shall be
  16 effectively publicized by the Party
  17 organization, official, candidate or member
  18 calling the same.

- D. Concise statements in advance of all
  meetings and events concerning the
  relationship between the business to be
  conducted and the delegate selection
  process shall be effectively publicized by the
  Party organization, official, candidate or
  member calling the same.
- 28 E. No person shall participate in more than
  29 one meeting which is the first meeting in the
  30 delegate selection process.

# Rule 4 An Open Party

- A. The Democratic National Committee reaffirms its commitment to the 1964 resolution, and requires the national and state parties to incorporate the Six Basic Elements, as updated, into their Party rules and to take appropriate steps to secure their implementation.
- The 1964 Democratic National Convention adopted a resolution which conditioned the seating of delegates at future conventions on the assurances that discrimination in any state party affairs on the ground of race, color, creed or national origin did not occur. The 1968 Convention adopted the 1964 Convention resolution for inclusion in the

- Call for the 1972 Convention. In 1966, the Special Equal Rights Committee, which had been created in 1964, adopted six anti-discrimination standards designated as the Six Basic Elements, which, as updated, are as follows:
- 1. All public meetings at all levels of the Democratic Party in each state should be open to all members of the Democratic Party regardless of race, sex, age, color, creed, national origin, religion, ethnic identity, sexual orientation, gender identity, economic status or physical disability (hereinafter collectively referred to as "status").
  - 2. No test for membership in, nor any oaths of loyalty to, the Democratic Party in any state should be required or used which has the effect of requiring prospective or current members of the Democratic Party to acquiesce in, condone or support discrimination based on "status."
  - 3. The time and place for all public meetings of the Democratic Party on all levels should be publicized fully and in such manner as to assure timely notice to all interested persons. Such meetings must be held in places accessible to all Party members and large enough to accommodate all interested persons.
  - 4. The Democratic Party, on all levels, should support the broadest possible registration without discrimination based on "status."
  - 5. The Democratic Party in each state should publicize fully and in such a manner as to assure notice to all interested parties a full description of the legal and practical procedures for selection of Democratic Party officers and representatives on all levels. Publication of these procedures should be done in such fashion that all prospective and current members of

each state Democratic Party will be fully and adequately informed of the pertinent procedures in time to participate in each selection procedure at all levels of the Democratic Party organization.

- 6. The Democratic Party in each state should publicize fully and in such a manner as to assure notice to all interested parties a complete description of the legal and practical qualifications of all positions as officers and representatives of the state Democratic Party. Such publication should be done in timely fashion so that all prospective candidates or applicants for any elected or appointed position within each state Democratic Party will have full and adequate opportunity to compete for office.
- C. These provisions demonstrate the intention of the Democratic Party to ensure a full opportunity for all "status" (as defined in Rule 4.B.(1)) members to participate in the delegate selection process.

## Rule 5 Non-Discrimination

- A. In order that the Democratic Party at all levels be an open Party which includes rather than excludes people from participation, a program of effective affirmative action and inclusion is hereby adopted.
- 39 B. Discrimination on the basis of "status" in
  40 the conduct of Democratic Party affairs is
  41 prohibited.
- C. In order to continue the Democratic Party's ongoing efforts to include groups historically under-represented in the Democratic Party's affairs, by virtue of race, ethnicity, age, sexual orientation, gender identity or disability, each state party shall develop and submit Party outreach

programs, including recruitment, education and training, in order to achieve full participation by such groups and diversity in the delegate selection process and at all levels of Party affairs.

# Rule 6 Affirmative Action

- The promises of a democratically elected government and the right to vote have not always been extended equally to all Americans. Historically, certain groups of Americans have been explicitly denied the right to vote or have been subjected to discriminatory and exclusionary practices with the intended effect of denying them voting rights. In recognition of this past history of discriminatory denial of the franchise and in order to encourage full participation by all Democrats in the delegate selection process and in all Party affairs, the national and state Democratic Parties shall adopt and implement affirmative action programs with specific goals and timetables for African Americans, Hispanics, Native Americans, Asian Americans and Pacific Islanders and women.
  - The goal of such affirmative action shall be to achieve participation in the delegate selection process and in Party organizations at all levels by the aforementioned groups as indicated by their presence in the Democratic electorate.
  - 2. This goal shall not be accomplished either directly or indirectly by the Party's imposition of mandatory quotas at any level of the delegate selection process or in any other Party affairs.
  - 3. In the selection of each state's at-large delegation, priority of consideration shall be given to African Americans, Hispanics, Native Americans, Asian Americans and Pacific Islanders and

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women, if such priority of consideration is needed to fulfill the affirmative action goals outlined in the state's Delegate Selection Plan. Such remedial action is necessary in order to overcome the effects of past discrimination. Use of the at-large delegation to fulfill the plan's affirmative action goals does not obviate the need for the state party to conduct outreach activities such as recruitment, education and training. Priority of consideration shall also be given to other groups as described in Rule 5.(C), which are under-represented in Democratic Party affairs, in order to assist in the achievement of full participation by these groups.

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- 19 Performance under an approved Affirmative Action Plan and composition of the convention delegation shall be considered relevant evidence in the challenge to any state delegation. If a state party has adopted and implemented an approved affirmative action program, the state party shall not be subject to challenge based solely on delegation composition or primary results.
- 30 C. State Delegate Selection Plans shall provide 31 for equal division between delegate men and delegate women and alternate men and 32 33 alternate women within the state's entire 34 convention delegation. For purposes of this 35 rule, the entire delegation includes all 36 pledged delegates and alternates and 37 unpledged delegates (including unpledged 38 party leaders and elected official delegates).
  - State Delegate Selection Plans shall, as far as mathematically practicable, also provide for equal division between district-level delegate men and delegate women and district-level alternate men and alternate women.
  - 2. The DNC Rules and Bylaws Committee shall have continuing jurisdiction to ensure compliance with this equal division requirement. No at-large

- delegate or alternate from a state shall be placed on the temporary roll of the 2012 2016 Democratic National Convention unless the Rules and Bylaws Committee has certified to the Secretary of the Democratic National Committee that such state's delegation complies with this equal division rule. It shall be the duty of the DNC Rules and Bylaws Committee to determine such compliance as soon as practicable following the certification of the state's at-large delegates and alternates.
- 3. Notwithstanding sub-paragraph A.(2) above, equal division at any level of delegate or committee positions between delegate men and delegate women or committeemen and committeewomen shall not constitute a violation of any provision thereof.
- 73 D. For purposes of providing adequate notice 74 of the delegate selection process under Rule 3, the times, dates, places and rules for the 75 conduct of all caucuses, conventions, 76 77 meetings and other events involved in the 78 delegate selection process shall be 79 effectively publicized, multilingually where 80 necessary, to encourage the participation of 81 minority groups. 82
- 83 State Democratic Parties shall ensure that 84 district lines used in the delegate selection 85 process are not gerrymandered to 86 discriminate against African Americans, 87 Hispanics, Native Americans, Asian 88 Americans and Pacific Islanders or women. 89
- 90 F. Each state Affirmative Action Plan shall 91 provide for the appointment of a 92 representative state Affirmative Action Committee by March 1, 2011 March 2, 2015. 93 94 Before the state party submits its Plan to the 95 DNC Rules and Bylaws Committee, the 96 Affirmative Action Committee shall review 97 the proposed outreach program required in 98 Rule 5.C.

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- G. Each State Affirmative Action Plan shall
   include outreach provisions to encourage
   the participation and representation of
   persons of low and moderate income, and a
   specific plan to help defray expenses of
   those delegates otherwise unable to
   participate in the national convention.
- 9 H. State parties in their Delegate Selection
  10 Plans shall impose reasonable specific
  11 Affirmative Action and Inclusion
  12 obligations upon presidential candidates
  13 consistent with the delegate selection
  14 system employed by the state.
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- 1. State parties shall require presidential candidates to submit statements that specify what steps such candidates will take to encourage full participation in their delegate selection process, including, but not limited to, procedures by which persons may file as candidates for delegate or alternate. Provided further that presidential candidates submit such full participation statements to the DNC Rules and Bylaws Committee at the same time they are submitted to state parties.
- State parties shall require presidential candidates to submit demographic information with respect to candidates for delegate and alternate pledged to them.
- 36 I. Each state party shall certify to the Rules and Bylaws Committee whether each 37 presidential candidate (including 38 39 uncommitted status) has used best efforts to 40 ensure that their respective delegations at 41 each level within a state's delegation shall fulfill the requirements of Rule 6 and Rule 7 42 43 established by the state's Delegate Selection 44 Plan and that the respective delegations of 45 each presidential candidate within the 46 state's delegation shall be equally divided 47 between men and women.

# Rule 7 Inclusion Programs

52 The Democratic National Committee recognizes 53 that other groups of Americans in addition to those described in Rule 6 may be under-54 represented in Party affairs. These groups 55 56 include members of the LGBT community, people with disabilities, and youth. The 57 58 National and State Parties shall adopt and implement Inclusion Programs in order to achieve the full participation of members of 60 61 these and other groups in the delegate selection process and in all party affairs, as indicated by 63 their presence in the Democratic electorate. 64 As is already the practice in some states, State 65 Parties may should use goals to achieve these ends; however, in no event may such participation be accomplished by the use of 67 68 quotas.

# Rule 8 National Convention Delegate Apportionment

- A. Apportionment of district-level delegates within states shall be based on one of the following:
  - A formula giving equal weight to total population and to the average of the vote for the Democratic candidates in the two most recent presidential elections;
  - A formula giving equal weight to the vote for the Democratic candidates in the most recent presidential and gubernatorial elections;
  - 3. A formula giving equal weight to the average of the vote for the Democratic candidates in the two most recent presidential elections and to Democratic Party registration or enrollment as of January 1, 2012 January 1, 2016; or

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- 4. A formula giving one-third (1/3) weight to each of the formulas in items (1), (2), and (3).
- 5 B. Apportionment for each body selecting 6 delegates to state, district, and county conventions shall be based upon population 7 8 and/or some measure of Democratic 9 strength. 10

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- 11 C. The Call for the 2012 2016 Convention shall 12 state the base delegation for each delegation. Seventy-five percent (75%) of 13 each state's base delegation shall be elected 14 at the congressional district level or smaller. 15 Twenty-five percent (25%) of each state's 16 base delegation shall be elected at large. 17 18 Delegates so elected shall hereafter be 19 termed "district-level" and "at-large" 20 delegates, respectively. Each State 21 Democratic Chair shall certify all delegates 22 in writing to the Secretary of the DNC.
- 24 D. In those states with more than one 25 congressional district, after the election of district-level delegates and prior to the 26 27 selection of at-large delegates, each State Democratic Chair shall certify pledged 28 29 party leader and elected official delegates 30 equal to 15% of the state's base delegation 31 selected pursuant to Rule 9. 32
- 33 E. The election of district-level and at-large 34 delegates and alternates may take place at 35 the same meeting, provided that districtlevel delegates are selected first. In states 36 with one congressional district the election 37 of delegates selected in accordance with 38 Rules 8.B., 8.C., and 9.A., may be conducted 39 simultaneously. In all cases, affirmative 40 41 action, inclusion and fair reflection 42 guidelines must be met and the Democratic 43 Chair of each such state shall make the 44 certifications required by subsection 8.D.

#### Rule 9 **Unpledged and Pledged Party Leaders and Elected Official** Delegates

51 A. The procedure to be used for certifying unpledged party leader and elected official 52 53 delegates is as follows: 54

> Not later than March 1, 2012 March 1, 2016, the Secretary of the Democratic National Committee shall officially confirm to each State Democratic Chair the names of the following unpledged delegates who legally reside in their respective state and who shall be recognized as part of their state's delegation unless any such member has publicly expressed support for the election of, or has endorsed, a presidential candidate of another political party:

- 1. The individuals recognized as members of the DNC (as set forth in Article Three, Sections 2 and 3 of the Charter of the Democratic Party of the United States); and,
- 2. The Democratic President and the Democratic Vice President of the United States, if applicable; and,
- 3. All Democratic members of the United States House of Representatives and all Democratic members of the United States Senate; and,
- 4. The Democratic Governor, if applicable; and,
- 5. All former Democratic Presidents, all former Democratic Vice Presidents, all former Democratic Leaders of the U.S. Senate, all former Democratic Speakers of the U.S. House of Representatives and Democratic Minority Leaders, as applicable, and all former Chairs of the Democratic National Committee.
- 94 Following the selection of district-level delegates under 8.E., pledged party leader

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and elected official delegates are to be selected subject to the following procedures:

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- 1. Persons shall be considered for pledged party leader and elected official delegates and alternates according to the following priority: big city mayors and state-wide elected officials to be given equal consideration; state legislative leaders, state legislators, and other state, county and local elected officials and party leaders.
- 2. These slots shall be allocated on the same basis as the state's at-large delegates.
- 3. If persons eligible for pledged party leader and elected official delegate positions have not made known their presidential preference under the procedures established by the state pursuant to Rule 12 for candidates for district-level and at-large delegate positions, their preferences shall be ascertained through alternative procedures established by the state party, which shall require a signed pledge of support for a presidential candidate. Such an alternative system shall have a final deadline for submitting a pledge of support after the selection of all district-level delegates has been completed and must provide an opportunity for disapproval by the presidential candidate or the candidate's authorized representative.
- 39 C. A state's party leader and elected official delegates may be chosen by a state convention or by a committee consisting of a quorum of district-level delegates. They may also be chosen by the State Party Committee, as recognized by the Democratic National Committee, but only if the state's Delegate Selection Plan is in full compliance with these rules, and provided:
  - 1. Membership on the State Party Committee is apportioned on the basis

- of population and/or some measure of Democratic strength;
- 2. Members of the State Party Committee have been elected through open processes in conformity with the basic procedural guarantees utilized for delegate selection;
  - 3. Such delegates are elected at a public meeting subsequent to the election of district-level delegates;
  - 4. Members of the State Party Committee exercising such authority shall have been elected no earlier than the date of the previous presidential election the calendar year of the previous national convention; and
  - 5. Membership of the State Party Committee complies with the equal division requirements of Article 9, Section 16 of the Charter of the Democratic Party of the United States.
- D. Except as provided in 9.A. above, no person shall serve as an automatic delegate at any level of the delegate selection process by virtue of holding a public or party office.

#### Rule 10 **Selection of At-Large Delegates**

86 A. The selection of at-large delegates shall be used, if necessary, to achieve the equal division of positions between men and women and the representation goals established in the state party's Affirmative Action Plan and Inclusion Program. Such goals apply to the state's entire delegation considered as a whole. For purposes of this rule, the entire delegation includes all unpledged as well as all pledged delegates. Delegates and alternates shall each, as a group, be equally divided and, to the extent possible, each as a group shall reflect the representation goals established in the

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state's Affirmative Action Plan and Inclusion Program.

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- 4 B. A state's at-large delegates and alternates 5 shall be selected by one of the bodies, 6 subject to the same conditions specified in Rule 9.C. above, provided, however, the 7 8 State Party Committee may choose such 9 delegates and alternates only if the state's Delegate Selection Plan is in full compliance 10 with these rules. 11
- 13 C. At-large delegates and alternates (including 14 pledged party leader and elected official delegates, which shall include those to be 15 allocated to uncommitted status) in primary 16 17 states shall be allocated according to the 18 state-wide primary vote or, in states holding 19 no state-wide primary, according to the 20 division of preferences among convention 21 and caucus participants. In non-primary 22 states which do not hold state conventions 23 authorized to elect delegates, at-large 24 delegates shall be apportioned according to the division of preferences among district-25 level delegates at the time of district-level 26 27 selection. If a presidential candidate 28 entitled to an allocation under this rule is no 29 longer a candidate at the time at-large 30 delegates are selected, his/her allocation 31 shall be proportionately divided among the 32 other preferences entitled to an allocation.

#### Rule 11 Timing of the Delegate **Selection Process**

A. No meetings, caucuses, conventions or primaries which constitute the first determining stage in the presidential nomination process (the date of the primary in primary states, and the date of the first tier caucus in caucus states) may be held prior to the first Tuesday in March or after the second Tuesday in June in the calendar year of the national convention. Provided, however, that the Iowa precinct caucuses may be held no earlier than 29 days before the first Tuesday in March; that the New

- 50 Hampshire primary may be held no earlier 51 than **21** days before the first Tuesday in 52 March; that the Nevada first-tier caucuses 53 may be held no earlier than 10 days before 54 the first Tuesday in March; and that the 55 South Carolina primary may be held no earlier than <u>3</u> days before the first Tuesday 56 57 in March. In no instance may a state which 58 scheduled delegate selection procedures on 59 or between the first Tuesday in March and 60 the second Tuesday in June 1984 move out 61 of compliance with the provisions of this 62 rule. 63
  - В. All steps in the delegate selection process, including the filing of presidential candidates, must take place within the calendar year of the Democratic National Convention (except as otherwise provided in these rules or specifically allowed by the DNC Rules and Bylaws Committee).

#### Rule 12 **Presidential Preference**

- A. All candidates for delegate and alternate in caucuses, conventions, committees and on primary ballots shall be identified as to presidential preference or uncommitted status at all levels of a process which determines presidential preference. Candidates may state a preference for only one presidential candidate, including uncommitted at any time. In no case shall a candidate for delegate or alternate indicate more than one such presidential preference at each level.
- 88 All persons wishing to be elected to a district-level or at-large delegate position 90 must file a statement of candidacy designating the presidential or uncommitted preference of the delegate candidate and a signed pledge of support 94 for the presidential candidate (including uncommitted status) the person favors, if 96 any, with the state party by a date certain as specified in the state's Delegate Selection Plan. Persons wishing to be elected as

- pledged party leader and elected official delegates shall comply with Rule 9.C.(3).
- 4 C. All candidates considered for district-level
  5 alternate positions must meet the same
  6 requirements as candidates for district-level
  7 delegate positions, except that the state may
  8 allow candidates who were not chosen at
  9 the delegate level to be considered at the
  10 alternate level.

- 12 D. Prior to the selection of national convention delegates and alternates, the state party shall convey to the presidential candidate, or that candidate's authorized representative(s), a list of all persons who have filed for delegate or alternate positions pledged to that presidential candidate. All such delegate and alternate candidates shall be considered bona fide supporters of the presidential candidate whom they have pledged to support, unless the presidential candidate, or that candidate's authorized representative(s), signifies otherwise in writing to the state party by a date certain as specified in the state's Delegate Selection Plan.
  - 1. Presidential candidates shall certify in writing to the Democratic State Chair the name(s) of their authorized representative(s) by a date certain.
  - 2. In states where delegates are voted upon on the ballot, the date by which the presidential candidate, or that candidate's authorized representative(s), signifies approval or disapproval of the list of delegate and alternate candidates in writing to the state party as required by Rule 12.D., must allow sufficient time to ensure that names removed from the list do not appear on the ballot.
  - 3. Presidential candidates or their authorized representatives shall not be required to exercise their right of candidate approval with respect to pledged party leader and elected official (PLEO) delegate candidates

- until such time after the district-level delegates have been elected.
- 4. Presidential candidates or their authorized representatives shall not be required to exercise their right of candidate approval with respect to atlarge delegate candidates until such time after the pledged party leader and elected official (PLEO) delegates have been elected.
- E. National convention delegate and alternate candidates removed from the list of bona fide supporters by a presidential candidate, or that candidate's authorized representative(s), may not be elected as a delegate or alternate at that level pledged to that presidential candidate (including uncommitted status).
  - 1. Presidential candidates may not remove any candidate for a district-level delegate or alternate position from the list of bona fide supporters unless, at a minimum, three (3) names remain for every such position to which the presidential candidate is entitled. Provided, however, that in states where individual district-level delegates and alternates are voted upon on a primary ballot, the presidential candidate, or that candidate's authorized representative(s), may approve a number of delegate candidates or alternate candidates equal to or greater than the number of delegates or alternates allocated to the district.
  - 2. Presidential candidates (including uncommitted status), in consultation with the state party, may remove any candidate for at-large and pledged party leader and elected official delegate or alternate position from the list of bona fide supporters as long as, at a minimum, one (1) name remains for every national convention delegate or alternate position to which the presidential candidate is entitled, except that a state may provide in its delegate

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- 1 selection plan, if the plan is approved by 2 the Rules and Bylaws Committee, that 3 presidential candidates (including 4 uncommitted status), may remove any 5 candidate for an at-large and party 6 leader and elected official delegate or 7 alternate position from the list of bona 8 fide supporters as long as, at a 9 minimum, two (2) names remain for every position to which the presidential 10 candidate is entitled. 11
- 13 State parties shall ensure that state Delegate 14 Selection Plans provide fair and adequate time for persons to file for delegate or 15 alternate positions, and for presidential 16 17 candidates, or their authorized 18 representative(s), to review the list of 19 persons who have filed, and to remove from 20 that list persons not confirmed by the 21 presidential candidate or his/her 22 representative(s) as bona fide supporters of 23 the presidential candidate.

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- 25 G. Except in states where individual delegates and alternates are selected on the **primary** 26 27 ballot, district-level national convention 28 delegates and alternates pledged to a 29 presidential candidate (including 30 uncommitted status) shall be selected or nominated by a caucus of persons from the 31 32 unit electing the delegates and alternates 33 who sign statements of support for that 34 presidential candidate. Uncommitted 35 delegates and alternates shall be elected by 36 the uncommitted caucus from the 37 appropriate unit.
- 39 H. A district-level delegate and alternate 40 candidate may run for election only within the district in which he or she is registered 41 42 to vote. For purposes of these rules, all 43 delegates and alternates at any level of the delegate selection process must be bona fide 44 45 Democrats who have the interests, welfare 46 and success of the Democratic Party of the 47 United States at heart, who subscribe to the 48 substance, intent and principles of the 49 Charter and the Bylaws of the Democratic

- Party of the United States, and who will participate in the Convention in good faith.
- 53 I. No delegate at any level of the delegate 54 selection process shall be mandated by law 55 or Party rule to vote contrary to that 56 person's presidential choice as expressed at 57 the time the delegate is elected. 58
- J. Delegates elected to the national convention
   pledged to a presidential candidate shall in
   all good conscience reflect the sentiments of
   those who elected them.
- K. 1. Based on the right of the Democratic
  Party to freely assemble and to
  determine the criteria for its candidates,
  it is determined that all candidates for
  the Democratic nomination for
  President or Vice President shall:
  - a. be registered to vote, and shall have been registered to vote in the last election for the office of President and Vice President; and
  - b. have demonstrated a commitment to the goals and objectives of the Democratic Party as determined by the National Chair and will participate in the Convention in good faith.
  - It is further determined that these requirements are in addition to the requirements set forth by the United States Constitution and any law of the United States.

# Rule 13 Fair Reflection of Presidential Preferences

A. Delegates shall be allocated in a fashion that fairly reflects the expressed presidential preference or uncommitted status of the primary voters or, if there is no binding primary, the convention and/or caucus participants.

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1 2 B. States shall allocate district-level delegates 3 and alternates in proportion to the 4 percentage of the primary or caucus vote 5 won in that district by each preference, 6 except that preferences falling below a 7 fifteen percent (15%) threshold shall not be 8 awarded any delegates. Subject to section F. 9 of this rule, no state shall have a threshold 10 above or below fifteen percent (15%). States which use a caucus/convention system, 11 12 shall specify in their Delegate Selection 13 Plans the caucus level at which such 14 percentages shall be determined.

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- 16 C. A presidential candidate or his/her authorized representative(s) should act in 17 good faith to slate delegate and alternate 18 19 candidates, however, in any event, if a 20 presidential candidate (including 21 uncommitted status) has qualified to receive 22 delegates and alternates but has failed to 23 slate a sufficient number of delegate and 24 alternate candidates, then additional 25 delegates and alternates for that preference 26 will be selected in a special post-primary 27 procedure. The State Party will administer 28 special post-primary procedures according 29 to rules approved by the DNC Rules and 30 Bylaws Committee and such procedures 31 should be set forth in the state's delegate 32 selection plan, where applicable.
  - D. District-level delegates and alternates shall be allocated according to the following procedures:
    - Step 1: Tabulate the percentage of the vote that each presidential preference (including uncommitted status) receives in the congressional district to three decimals.
    - Step 2: Retabulate the percentage of the vote to three decimals, received by each presidential preference excluding the votes of presidential preferences whose percentage in Step 1 falls below 15%.

- 51 Step 3: Multiply the number of delegates to 52 be allocated by the percentage 53 received by each presidential 54 preference.
  - Step 4: Delegates shall be allocated to each presidential preference based on the whole numbers which result from the multiplication in Step 3.
  - Step 5: Remaining delegates, if any, shall be awarded in order of the highest fractional remainders in Step 3.
- 65 At-large and pledged party leader and elected official delegate and alternate 66 67 positions shall be allocated to presidential preferences by reference to primary or 68 convention votes or to the division of 69 70 preference among district-level delegates or 71 alternates, as the case may be, as specified in 72 Rule 10.C., except that a preference falling 73 below a threshold of fifteen percent (15%) 74 shall not be awarded any delegates or 75 alternates at this level. Such delegates and 76 alternates in primary states shall be 77 allocated to presidential preference 78 (including uncommitted status) according 79 to the statewide primary vote. 80
- F. In all situations where no preference reaches the applicable threshold, the threshold shall be the percentage of the vote received at each level of the delegate selection process by the front-runner minus 10 percent.
- 87 G. Under no circumstances shall the use of88 single-delegate districts be permitted.89
- 90 H. For the purpose of fairly reflecting the division of preferences, the non-binding 91 92 advisory presidential preference portion of 93 primaries shall not be considered a step in 94 the delegate selection process and is 95 considered detrimental. State parties must 96 take steps to educate the public that a non-97 binding presidential preference event is 98 meaningless, and state parties and 99 presidential candidates should take all steps 100 possible not to participate. 101

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1. In a state that uses a caucus and/or convention to determine presidential preference of voters, the plan must provide for the timely reporting of the election results to the state party.

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#### Rule 14 **Petition Requirements and** Filing Deadlines

- 11 A. If a state requires the filing of petitions with the signatures of registered/enrolled voters 13 as the sole method to place a presidential 14 candidate's name on the primary ballot in 15 connection with the Democratic presidential 16 nominating process, such number of valid 17 signatures shall not exceed 5,000.
- 19 B. If a state requires the payment of a fee by a 20 presidential candidate in connection with 21 the Democratic presidential nominating 22 process, such fee shall not exceed \$2,500. 23
- 24 C. If a state requires the filing of a petition with the signatures of registered/enrolled voters 25 26 in order to have a delegate/alternate 27 candidate gain access to the primary ballot 28 in connection with the Democratic 29 presidential nominating process, the 30 number of valid signatures shall not exceed 31 either one half of one percent (.5%) of the 32 registered/enrolled Democrats in such 33 district or one half of one percent (.5%) of 34 the total votes in such district for all 35 Democratic presidential candidates 36 (including uncommitted) during the 37 immediately preceding presidential 38 nominating process, whichever is lower, but 39 in no event shall the number of valid 40 signatures required exceed 500.
- 42 Subject to the prior sections of this rule, the number of valid signatures required of a presidential candidate to file a petition to gain access to the primary ballot, and the number of valid signatures required of a delegate/alternate candidate to gain access to the primary ballot, and the fees required to be paid to the state by a presidential

- 80 candidate and by a delegate/alternate 81 candidate to gain access to the primary 82 ballot, in connection with the Democratic 83 presidential nominating process, shall not 84 exceed those in effect in the particular state 85 as of January 1, 1994. 61
- 87 E. No deadline for the filing of petitions for 88 participation in the presidential nomination 89 process by a presidential candidate shall be 90 less than 30 days in advance of the primary 91 or caucus nor more than 75 days in advance 92 of the primary or caucus.
- 139 No candidate for delegate or alternate shall F. 140 be required to file a statement of candidacy 141 or a pledge of support as required by Rule 142 12.B. prior to 30 days before such delegate 143 or alternate candidate is to be selected or 144 elected in a primary, caucus or pre-primary 145 caucus; provided, however, that in states 146 holding a presidential primary where 147 individual district-level delegates or 148 alternates are to be voted upon on the ballot, 149 no candidate for delegate or alternate shall 150 be required to submit or file a statement of 151 candidacy or a pledge of support prior to 90 152 days before the date on which they are to be 153 voted upon. 84
- 115 G. No candidate for at-large or pledged party 116 leader and elected official delegate or 117 alternate shall be required to file a statement of candidacy or a pledge of support 118 119 required by Rule 12.B. prior to 30 days 120 before the date when the delegate or 121 alternate is to be selected or voted upon. 92
- 123 H. No state's delegate selection rules may require the filing of district-level delegate or 124 125 alternate candidates pledged to a 126 presidential candidate or uncommitted 127 status as a condition of access by a 128 presidential candidate to the primary ballot 129 for voting upon presidential preference.

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#### Rule 15 **Quorum Requirements**

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4 No less than forty percent (40%) of the members of any Party body above the first level of the delegate selection process shall constitute a quorum for any business pertaining to the selection of convention delegates.

#### Rule 16 **Proxy Voting**

To insure full participation in the delegate selection process, state party rules may, at their discretion, provide for proxy voting. Such rules shall allow an accredited participant in a caucus, convention or committee meeting, after having appeared at such meeting and having established credentials, to register the nontransferable proxy with another duly accredited participant at that meeting (except where an accredited alternate is present and eligible to serve as a replacement). Unless otherwise specified, a proxy shall be deemed to be general and uninstructed. No such rule shall allow a person to hold more than three (3) proxies at a time.

#### Rule 17 Unit Rule and Slate-Making

- 32 A. The unit rule, or any rule or practice whereby all members of a Party unit or delegation may be required to cast their votes in accordance with the will of a majority of the body, shall not be used at any stage of the delegate selection process.
  - B. Any individual or group of Democrats may sponsor or endorse a slate of candidates for convention delegates. But no slate may, by virtue of such endorsement, receive a preferential place on a delegate selection ballot or be publicly identified on the ballot as the official Democratic Party organization slate, and all slates must meet identical qualifying requirements for appearing on a

ballot at all levels of the delegate selection process.

#### Rule 18 51 **Alternates and Vacancies** 52

- 54 A. Alternate delegates shall be selected by 55 primary, convention or committee processes subject to the same National Party Rules 56 applicable to the selection of delegates, 57 58 except that the provisions of Rule 9.A. shall not apply to the election of alternates. Each 59 State Democratic Chair shall certify all 60 alternates in writing to the Secretary of the 61 62
- 64 B. If a given presidential preference is entitled to one or more delegate positions in a state 65 but would not otherwise be entitled to an 66 67 alternate position, that preference shall be 68 allotted one at-large alternate position. 69
- 70 The proportions of alternates elected at the district level, and at-large, and as pledged 71 72 party leader and elected official alternates, 73 may be the same as the proportions of 74 delegates elected in those categories. 75
- 76 D. Each state Delegate Selection Plan shall 77 specifically provide how and under what 78 conditions an alternate is to replace or act in 79 lieu of (collectively referred to as "replace" 80 or "replaces") a delegate.
  - 1. Delegate Selection Plans may specify one or any combination of the following alternatives for permanent and temporary replacements:
    - The delegate chooses the alternate; a.
  - The delegation chooses the b. alternate;
  - The alternate who receives the c. highest number of votes; or
  - d. Such other process as protects the interests of presidential candidates, delegates and alternates.

- 2. If a delegate or alternate candidate who has been elected but not yet certified to the Secretary of the DNC resigns, dies or is no longer eligible to serve, the delegate-elect or alternate-elect shall be replaced, after consultation with the State Party, by the authorized representative of the presidential candidate to whom the delegate or alternate was pledged.
- 2. 3. A permanent replacement occurs when a delegate resigns or, dies or is no longer eligible to serve prior to or during the National Convention and the alternate replaces the delegate for the remainder of the National Convention. Any alternate who permanently replaces a delegate shall be certified in writing to the Secretary of the DNC by the State Democratic Chair. He/She shall be of the same presidential preference (including uncommitted status) and sex of the delegate he/she replaces, and to the extent possible shall be from the same political subdivision within the state as the delegate; except in the case where the presidential candidate has only one alternate, in which case, that alternate shall become the certified delegate.
- 3. 4. A temporary replacement occurs when a delegate is to be absent for a limited period of time during the convention and an alternate temporarily acts in the delegate's place. Any alternate who temporarily replaces a delegate must be of the same presidential preference (including uncommitted status) as the delegate he/she replaces, and to the extent possible shall be of the same sex and from the same political subdivision within the state as the delegate.
- A vacant alternate position shall be filled by the delegation. The replacement shall be of the same presidential preference (or uncommitted status), of the same sex and, to the extent possible, from the same political subdivision as the alternate being replaced. Each replacement of a vacant alternate position shall be certified in writing to the

Secretary of the DNC by the State Democratic Chair.

# Rule 19 DNC Rules and Bylaws Committee

- A. The DNC Rules and Bylaws Committee will
  assist in the administration and enforce
  affirmative action, inclusion and delegate
  selection requirements for the national and
  state Democratic Parties.
- 84 B. The DNC Rules and Bylaws Committee
  85 shall implement the Delegate Selection
  86 Rules in a manner consistent with these
  87 rules.
- 89 C. The DNC Rules and Bylaws Committee will
  90 provide state parties with a model Delegate
  91 Selection Plan and an Affirmative Action
  92 Plan and Inclusion Program.
- 84 D. The DNC Rules and Bylaws Committee shall:
  - 1. review Affirmative Action Plans, Inclusion Programs and Delegate Selection Plans submitted by state parties and approve or recommend changes in such plans;
  - 2. conduct periodic evaluations and provide technical assistance to state parties on affirmative action plan, inclusion program and delegate selection implementation;
  - 3. hear and recommend solutions to affirmative action plans and inclusion programs complaints unresolved by appropriate state party bodies.
- 123 E. The DNC Rules and Bylaws Committee
   124 shall retain jurisdiction over the approval of
   125 amendments to state Delegate Selection
   126 Plans and state delegation compliance with
   127 equal division requirements, even after the
   128 Convention Credentials Committee assumes

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79 C.

1 jurisdiction over challenges to the 2 credentials of delegates. 3 4 F. No later than December 15, 2010 December 5 15, 2014, the DNC Rules and Bylaws

and a checklist.

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10 G. The DNC shall allocate sufficient financial 11 resources and staff to implement this rule.

#### Rule 20 **Challenges**

Committee shall send to state parties its

regulations adopted pursuant to these rules

- 16 A. Jurisdictional Challenges. Any challenges to a state party organization in respect to its status as the body entitled to sponsor a delegation from that state must be presented to the DNC at any time up to thirty (30) days prior to the initiation of the state's delegate selection process. Such a challenge must be brought by at least fifteen (15) Democrats from the state.
- 26 B. Submission, Non-Implementation and 27 Violation Challenges. Failure to submit or 28 implement an approved affirmative action program and inclusion program by the 30 deadline specified in these rules shall constitute grounds for a challenge with the burden of proof on the challenged party. 33
  - 1. At any time up to thirty (30) days prior to the initiation of the state's delegate selection process, any group of not less than fifteen (15) Democrats in that state can challenge the affirmative action plan and inclusion program on the basis of non-implementation of a specific requirement of a state plan, which challenge shall include reasonable documentation of alleged violations. (In such challenges, the challenging party shall have the burden of proof, but the challenged party shall present its case first.)
    - In the absence of any such challenge, the implementation of

- any such program shall be presumptively in compliance.
- If challenged and upheld, the b. compliance of such implementation programs shall be conclusive but not as to compliance or non-compliance that may occur after the date of the challenge.
- 2. Challenges regarding alleged violation of an approved Delegate Selection Plan shall first be brought to the appropriate state Democratic Party body for a decision to be rendered within twentyone (21) days. After due notice, any aggrieved party shall have the right to appeal to the DNC Rules and Bylaws Committee within ten (10) days following the decision of the state body according to procedures established by DNC Rules and Bylaws Committee.
- 3. The DNC Rules and Bylaws Committee shall either certify compliance, certify non-compliance or require corrective action after which compliance or noncompliance shall be certified.
- 1. a. Violation of timing: In the event the Delegate Selection Plan of a state party provides or permits a meeting, caucus, convention or primary which constitutes the first determining stage in the presidential nominating process to be held prior to or after the dates for the state as provided in Rule 11 of these rules, or in the event a state holds such a meeting, caucus, convention or primary prior to or after such dates, the number of pledged delegates elected in each category allocated to the state pursuant to the Call for the National Convention shall be reduced by fifty (50%) percent, and the number of alternates shall also be reduced by fifty (50%) percent. In addition, none of the members of the Democratic National Committee

and no other unpledged delegate allocated pursuant to Rule 9.A. from that state shall be permitted to vote as members of the state's delegation. In determining the actual number of delegates or alternates by which the state's delegation is to be reduced, any fraction below .5 shall be rounded down to the nearest whole number, and any fraction of .5 or greater shall be rounded up to the next nearest whole number.

A presidential candidate who b. campaigns in a state where the state party is in violation of the timing provisions of these rules, or where a primary or caucus is set by a state's government on a date that violates the timing provisions of these rules, may not receive pledged delegates or delegate votes from that state. Candidates may, however, campaign in such a state after the primary or caucus that violates these rules. "Campaigning" for purposes of this section includes, but is not limited to, purchasing print, internet, or electronic advertising that reaches a significant percentage of the voters in the aforementioned state; hiring campaign workers; opening an office; making public appearances; holding news conferences; coordinating volunteer activities; sending mail, other than fundraising requests that are also sent to potential donors in other states; using paid or volunteer phoners or automated calls to contact voters; sending emails or establishing a website specific to that state; holding events to which Democratic voters are invited; attending events sponsored by state or local Democratic organizations; or paying for campaign materials to be used in such a state. The Rules and Bylaws Committee will

- determine whether candidate activities are covered by this section.
- 2. Violation of proportional representation: In the event the Delegate Selection Plan of a state party provides or permits the pledged delegates or alternates to be allocated to a presidential preference (including uncommitted status) other than as provided under Rule 13 of these rules, or in the event a state party, in fact, allocates its pledged delegates or alternates to a presidential preference (including uncommitted status) other than as provided under Rule 13 of these rules, the delegation of the state shall be reduced by the same amount and as provided in section C.(1) of this rule.
- 3. Violation of the threshold: In the event the Delegate Selection Plan of a state party provides or permits a threshold other than fifteen percent (15%) percent as set forth in Rule 13 of these rules, or in the event a state party in fact permits the implementation of a threshold other than fifteen percent (15%) percent as provided in Rule 13 of these rules, the delegation of the state shall be reduced by the same amount and as provided in section C.(1) of this rule.
- 4. Upon a determination of the DNC Rules and Bylaws Committee that a state is in violation as set forth in subsections (1), (2) or (3) of section C. of this rule, the reductions required under those subsections shall become effective automatically and immediately and without further action of the DNC Rules and Bylaws Committee, the Executive Committee of the DNC, the DNC or the Credentials Committee of the Democratic National Convention.
- Nothing in the preceding subsections of this rule shall be construed to prevent the DNC Rules and Bylaws Committee from imposing additional sanctions, including, without limitation, those

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specified in subsection (6) of this section C., against a state party and against the delegation from the state which is subject to the provisions of any of subsections (1) through (3) of this section C., including, without limitation, establishing a committee to propose and implement a process which will result in the selection of a delegation from the affected state which shall (i) be broadly representative, (ii) reflect the state's division of presidential preference and uncommitted status and (iii) involve as broad participation as is practicable under the circumstances.

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- 6. Nothing in these rules shall prevent the DNC Rules and Bylaws Committee from imposing sanctions the Committee deems appropriate with respect to a state which the Committee determines has failed or refused to comply with these rules, where the failure or refusal of the state party is not subject to subsections (1), (2) or (3) of this section C. Possible sanctions include, but are not limited to: reduction of the state's delegation; pursuant to Rule 21.C., recommending the establishment of a committee to propose and implement a process which will result in the selection of a delegation from the affected state which shall (i) be broadly representative, (ii) reflect the state's division of presidential preference and uncommitted status and (iii) involve as broad participation as is practicable under the circumstances; reducing, in part or in whole, the number of the state's members to the Standing Committees; reducing, in part or in whole, the number of guests, VIP and other passes/tickets to the National Convention and related functions: assignment of location of the state's delegates and alternates in the Convention hall; and assignment of the state's housing and other convention related facilities.
- 7. In the event a state shall become subject to subsections (1), (2) or (3) of section C. of this rule as a result of state law but the DNC Rules and Bylaws Committee, after an investigation, including hearings if necessary, determines the state party and the other relevant Democratic party leaders and elected officials took all provable, positive steps and acted in good faith to achieve legislative changes to bring the state law into compliance with the pertinent provisions of these rules and determines that the state party and the other relevant Democratic party leaders and elected officials took all provable, positive steps and acted in good faith in attempting to prevent legislative changes which resulted in state law that fails to comply with the pertinent provisions of these rules, the DNC Rules and Bylaws Committee shall determine that all or a portion of the state's delegation shall not be reduced. The state party shall have the burden of proving by clear and convincing evidence that it and the other relevant Democratic party leaders and elected officials took all provable, positive steps and acted in good faith to achieve legislative changes to bring the state law into compliance with the pertinent provisions of these rules and that it and the other relevant Democratic party leaders and elected officials took all provable, positive steps and acted in good faith in attempting to prevent the legislative changes which resulted in state law that fails to comply with the pertinent provisions of these rules.
- 8. A state party may provide in its
  Delegate Selection Plan the specific
  method and procedures by which it will
  reduce its delegation pursuant to this
  Rule 20 in the event the state party or
  delegation becomes subject to this Rule
  20 by which categories of delegates
  must be reduced by fifty percent (50%)
  percent, which specific method and

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procedures shall be subject to the review and approval of the DNC Rules and Bylaws Committee. In the event a state's Delegate Selection Plan does not provide for the specific method and procedures referred to in the immediately preceding sentence, or in the event the state's Delegate Selection Plan is either not approved by the DNC Rules and Bylaws Committee or the specific method and procedures referred to in the first sentence of this subsection (8) are not approved by the DNC Rules and Bylaws Committee, or in the event a state's Delegate Selection Plan specifies the method and procedures which have been approved by the DNC Rules and Bylaws Committee, but the state party fails or refuses to implement those specific method and procedures, and in the event the state's delegation is required to be reduced pursuant to this Rule 20, then the DNC Rules and Bylaws Committee shall, by lottery, or other appropriate method determined by the DNC Rules and Bylaws Committee, determine which delegates and alternates shall not be a part of the state's delegation in order to achieve the reduction of the state's delegation pursuant to this Rule 20. Any reduction of delegates under this provision shall be accomplished in a manner which complies with the requirement of proportional representation as provided for in Rule 13.

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- 9. Except as provided by subsection (7) of this section C., the fact that a state party took provable, positive steps as provided in Rule 21 of these rules shall not preclude the state's delegation from being subject to the sanctions set forth in subsections (1), (2), (3), (4) and (5) of this section C.
- D. Unresolved Challenges and Report to the 47 Credentials Committee. The DNC Rules and Bylaws Committee shall report its activities, together with all challenges and complaints,

to the Credentials Committee of the Democratic National Convention. In cases involving unresolved challenges which are appealed to the Credentials Committee, the burden of proof shall rest with the party presenting the challenge.

#### Rule 21 **State Legislative Changes**

- 61 A. Subject to Rule 19.C. of these Rules, wherever any part of any section contained 62 63 in these rules conflicts with existing state laws, the state party shall take provable 64 positive steps to achieve legislative changes 65 to bring the state law into compliance with 66 67 the provisions of these rules.
- 69 В. Provable positive steps shall be taken in a 70 timely fashion and shall include, but not be 71 limited to: the drafting of corrective 72 legislation; public endorsement by the state 73 party and the other relevant Democratic 74 party leaders and elected officials of such 75 legislation; efforts to educate the public on 76 the need for such legislation; active support for the legislation by the state party 77 78 lobbying state legislators, other public officials, Party officials and Party members; 79 80 and encouraging consideration of the 81 legislation by the appropriate legislative 82 committees and bodies.
- 84 A state party may be required by a vote of 85 the DNC Executive Committee upon a 86 recommendation of the DNC Rules and Bylaws Committee to adopt and implement 87 88 an alternative Party-run delegate selection 89 system which does not conflict with these 90 rules, regardless of any provable positive 91 steps the state may have taken.